

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, AND §1.98 PTO-1449 FORM (MODIFIED) SHEET 1 OF 2	ATTORNEY DOCKET NO. PP18521.004		SERIAL NO. 10/505,250 (PCT/US03/05017)
	APPLICANTS: Derek O' Hagan et al.		EXAMINER: Unassigned
	PCT FILING DATE: February 20, 2003	GROUP ART UNIT: Unassigned	CONFIRMATION NO. 7392

U.S. PATENT DOCUMENTS							
Ex'r Initial	Ref. No.	Document No.	Date	Name	Class	Subclass	Filed
/ZL/	A1	2002/0136776A1	September 26, 2002	Fang et al.			
/ZL/	A2	5,858,410	January 12, 1999	Muller et al.			
/ZL/	A3	6,383,470	May 7, 2002	Fritzsche et al.			

FOREIGN PATENT DOCUMENTS							
Ex'r Initial	Ref. No.	Document No.	Publication Date	Country	Class	Subclass	Translation YES NO
↓ /ZL/	B1	WO 02/26209	April 4, 2002	WIPO			
	B2	WO 00/50006	August 31, 2000	WIPO			
	B3	WO 98/33487	August 6, 1998	WIPO			
	B4	WO 97/02810	January 30, 1997	WIPO			
	B5	WO 00/06123	February 10, 2000	WIPO			
	B6	WO 01/36599	May 25, 2001	WIPO			
	B7	WO 02/26212	April 4, 2002	WIPO			
	B8	WO 01/81609	November 1, 2001	WIPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ex'r Initial	Ref. No.	Description
/ZL/	C1	M. Briones et al., "The Preparation, Characterization, and Evaluation of Cationic Microparticles for DNA Vaccine Therapy," <i>Pharmaceutical Research</i> , 18(5):709-712 (2001).

EXAMINER'S SIGNATURE /Zachariah Lucas/	DATE CONSIDERED 10/04/2007
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† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.
 Line through citation if not in conformance and not considered.
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* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

<p>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, AND §1.98</p> <p>PTO-1449 FORM (MODIFIED)</p> <p>SHEET 2 OF 2</p>	<p>ATTORNEY DOCKET NO. PP18521.004</p>		<p>SERIAL NO. 10/505,250 (PCT/US03/05017)</p>	
	<p>APPLICANTS: Derek O' Hagan et al.</p>		<p>EXAMINER: Unassigned</p>	
	<p>PCT FILING DATE: February 20, 2003</p>	<p>GROUP ART UNIT: Unassigned</p>	<p>CONFIRMATION NO. 7392</p>	

/ZL/	C2	J. Kazzaz et al, "Novel Anionic Microparticles Are a Potent Adjuvant for the Induction of Cytotoxic T Lymphocytes Against Recombinant p55 Gag from HIV -1," <i>J. Controlled Release</i> , 67:347-356 (2000).
	C3	K.S. Denis-Mize et al., "Plasmid DNA Adsorbed onto Cationic Microparticles Mediates Target Gene Expression and Antigen Presentation by Dendritic Cells," <i>Gene Therapy</i> , 7:2105-2112 (2000).
	C4	H. Jeffrey et al., "The Preparation and Characterization of Poly(lactide-co-glycolide) Microparticles. II. The Entrapment of a Model Protein Using a (Water-in-Oil)-in-Water Emulsion Solvent Evaporation Technique," <i>Pharmaceutical Research</i> , 10(3):362-368 (1993).
	C5	D.T. O'Hagan et al., "Long-Term Antibody Responses in Mice Following Subcutaneous Immunization with Ovalbumin Entrapped in Biodegradable Microparticles," <i>Vaccine</i> , 11(9):965-969 (1993).
	C6	M. Singh et al, "Cationic Microparticles Are an Effective Delivery System for Immune Stimulatory CpG DNA," <i>Pharmaceutical Research</i> , 18(10):1476-1479 (2001).
	C7	M. Singh et al., "Cationic Microparticles: A Potent Delivery System for DNA Vaccines," <i>Proc. Natl Acad. Sci. USA</i> , 97(2):811-816 (2000).
	C8	M. Singh et al., "Mucosal Immunization with HIV-1 Gag DNA on Cationic Microparticles Prolongs Gene Expression and Enhances Local and Systemic Immunity," <i>Vaccine</i> , 20:594-602 (2002).
	C9	D. O'Hagan et al, "Induction of Potent Immune Responses by Cationic Microparticles with Adsorbed Human Immunodeficiency Virus DNA Vaccines," <i>J. Virol.</i> , 75(19):9037-9043 (2001).
	C10	Y.-I. Jeong et al., "Preparation of poly(DL-lactide-co-glycolide) nanoparticles without surfactant," <i>J. Appl. Polymer Sci.</i> , 80:2228-2236 (2001).
	C11	H.-J. Jeon et al., "Effect of solvent on the preparation of surfactant-free poly(DL-lactide-co-glycolide) nanoparticles and norfloxacin release characteristics," <i>Int. J. Pharm.</i> , 207:99-108 (2000).
	C12	I. Soriano et al., "Use of surfactants in polylactic acid protein microspheres," <i>Drug Develop. Indust. Pharm.</i> , 21(5):549-558 (1995).
↓	C13	C.-Y. Yang et al., "Encapsulating aspirin into a surfactant-free ethyl cellulose microsphere using non-toxic solvents by emulsion solvent-evaporation technique," <i>J. Microencapsulation</i> , 18(2):223-236 (2001).
/ZL/	C14	Y. Kawashima et al., "Properties of a peptide containing DL-lactide/glycolide copolymer nanospheres prepared by novel emulsion solvent diffusion methods," <i>Eur. J. Pharm.</i> , 45:41-48 (1998).

<p>EXAMINER'S SIGNATURE</p> <p>/Zachariah Lucas/</p>	<p>DATE CONSIDERED</p> <p>10/04/2007</p>
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Docket No.: PP018521.0004

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/505,250		
		Filing Date	05/13/2005		
		First Named Inventor	Derek O'Hagan		
		Group Art Unit	1648		
		Examiner Name	Zachariah Lucas		
Sheet	1	of	1	Attorney Docket Number	PP018521.0004

Examiner Initials*	Cite No. ¹	U.S. Patent Publication		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Class/Subclass		
/Z/	1.	US2005/0107322 A1	514/44	O'Hagan et al.	05-19-2005

Examiner Signature	/Zachariah Lucas/	Date Considered	09/28/2007
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¹²Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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